



LUDHIANA STOCK AND CAPITAL LIMITED

(Formerly Ludhiana Stock Exchange Limited)

CIN:- U67120PB1981PLC004696

Ref. No.....

Dated.....

RESULT OF THE POSTAL BALLOT

Dear Sir/ Madam(s),

It is hereby informed that Company has conducted the Postal Ballot process pursuant to Section 110 of the Companies Act, 2013 read with the Companies (Management and Administration) Rules, 2014 for obtaining Members' approval for following Special Resolutions as detailed in the Postal Ballot Notice dated July 17, 2021:

- a) TO APPROVE THE LIMITS FOR GIVING GUARANTEE(S) OR PROVIDING SECURITY(IES) IN CONNECTION WITH LOAN(S) TAKEN BY SUBSIDIARY COMPANY, M/S. LSC SECURITIES LIMITED, IN EXCESS OF THE LIMITS PRESCRIBED UNDER SECTION 186 OF THE COMPANIES ACT, 2013. **(RESOLUTION NO. 1)**
- b) TO APPROVE THE GIVING OF GUARANTEE(S) OR PROVIDING SECURITY(IES) IN CONNECTION WITH LOAN(S) TAKEN BY SUBSIDIARY COMPANY, M/S. LSC SECURITIES LIMITED, UNDER SECTION 185 OF THE COMPANIES ACT, 2013. **(RESOLUTION NO. 2)**

The Company had appointed Ms. Tanveer Kaur, Company Secretary in Practice, Ludhiana (Membership No.: 61685, Certificate of Practice No.: 23138) as the Scrutinizer for conducting the Postal Ballot in a fair and transparent manner. The Scrutinizer had carried out the scrutiny of all the postal ballots received till August 27, 2021 and submitted her report on August 30, 2021. Based on the Scrutinizer's report, Mr. Tribhawan Singh Thapar, Chairperson, has on August 31, 2021, declared the result of the Postal Ballot as detailed hereunder:

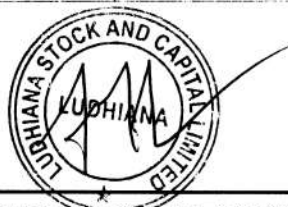
RESOLUTION NO. 1:

I. Voted in favour of the Resolution:

Type of Voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	25	2679	100
E-Voting	NIL	NIL	NIL
Total	25	2679	100

II. Voted against the Resolution:

Type of Voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	NIL	NIL	NIL
E-Voting	NIL	NIL	NIL
Total	NIL	NIL	NIL



Continued.....



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III. Invalid Votes:

Type of Voting	Number of Members whose votes were declared invalid	Total number of Votes cast by them
Postal Ballot	1	10
E-Voting	NIL	NIL
Total	1	10

IV. Summary of Votes Casted:

Type of Voting	Number of Members who voted	Total number of Votes cast by them
Postal Ballot - Valid	25	2679
Postal Ballot - Against	NIL	NIL
Postal Ballot - Invalid	1	10
E-Voting	NIL	NIL
Total	26	2689

RESOLUTION NO. 2:

I. Voted in favour of the Resolution:

Type of Voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	25	2679	100
E-Voting	NIL	NIL	NIL
Total	25	2679	100

II. Voted against the Resolution:

Type of Voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	NIL	NIL	NIL
E-Voting	NIL	NIL	NIL
Total	NIL	NIL	NIL

III. Invalid Votes:

Type of Voting	Number of Members whose votes were declared invalid	Total number of Votes cast by them
Postal Ballot	1	10
E-Voting	NIL	NIL
Total	1	10



Continued.....



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IV. Summary of Votes Casted:

Type of Voting	Number of Members who voted	Total number of Votes cast by them
Postal Ballot - Valid	25	2679
Postal Ballot - Against	NIL	NIL
Postal Ballot - Invalid	1	10
E-Voting	NIL	NIL
Total	26	2689

As the Votes cast in favour of both the Special Resolutions exceeded three times the votes cast against the respective Special Resolutions, therefore both the Special Resolutions set out in the Notice dated July 17, 2021, stand duly approved by the Members with the requisite majority.

FOR LUDHIANA STOCK AND CAPITAL LIMITED



TRIBHAWAN SINGH THAPAR
CHAIRPERSON
DIN:- 00494576

DATE :- 31.08.2021
PLACE: - LUDHIANA

CS TANVEER KAUR
COMPANY SECRETARY

5, SantIsher Singh Nagar, Pakhowal Road, Ludhiana -141010 Punjab M: - 098884-91127
E-Mail:kaurtanveer013@gmail.com

Scrutinizer's Report

[Pursuant to Section 110 of the Companies Act, 2013 and rule 22 of the Companies
(Management and Administration) Rules, 2014]

To

The Chairman,
LUDHIANA STOCK AND CAPITAL LIMITED
CIN: U67120PB1981PLC004696
Feroze Gandhi Market, Ludhiana
Ludhiana PB 141001 IN.

Sub: Scrutinizer Report on Postal Ballot conducted pursuant to the provisions of Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014

Sir,

I, Tanveer Kaur, Company Secretary in Practice, has been appointed as a Scrutinizer by the Board of Directors of LUDHIANA STOCK AND CAPITAL LIMITED (CIN: U67120PB1981PLC004696) vide Board Resolution for the purpose of ascertaining the requisite majority on postal ballot voting carried out as per the provisions of Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014 (Rules).

1. I submit my report as under:

- The Management of the Company is responsible to ensure compliance with the requirements of (i) the Act and the Rules made thereunder; (ii) the applicable MCA Circulars.
- My responsibility as Scrutinizer is restricted to make Scrutinizers' Report of the votes cast "For" or "Against" the resolutions stated in the Notice of Postal Ballot.



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COMPANY SECRETARY

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E-Mail:kaurtanveer013@gmail.com

2. Based on the records provided by the management of the Company, I understand as follows:
- The Company has dispatched notice dated 17.07.2021 ("Notice") to all shareholders of the Company.
 - The shareholders of the Company, holding shares either in physical or in dematerialized form, as on 16.07.2021(the Cut-off date), were allowed to cast their vote.
 - Particulars of all Postal Ballots received from the members have been entered in the register maintained by us separately for the purpose.
 - All Postal Ballot forms received upto the close of working hours upto 05:00 P.M. on 27.08.2021 being the last date and time fixed by the Company for receipt of forms were considered for scrutiny.
 - The postal ballot forms were kept under safe custody before commencing the scrutiny of such postal ballot forms.



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3. The result of voting through postal ballot is as under:

I. RESOLUTION 1:- SPECIAL RESOLUTION

TO APPROVE THE LIMITS FOR GIVING GUARANTEE(S) OR PROVIDING SECURITY (IES) IN CONNECTION WITH LOAN (S) TAKEN BY SUBSIDIARY COMPANY, M/S. LSC SECURITIES LIMITED , IN EXCESS OF THE LIMITS PRESCRIBED UNDER SECTION 186 OF THE COMPANIES ACT, 2013

(I) Voted in favour of the Resolution:

Type of voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	25	2679	100.00
E-Voting	NIL	NIL	NIL
Total	25	2679	100.00

(II) Voted against the Resolution:

Type of voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	NIL	NIL	NIL
E-Voting	NIL	NIL	NIL
Total	NIL	NIL	NIL



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(III) Invalid Votes:

Type of voting	Number of Members whose votes were declared invalid	Total number of Votes cast by them
Postal Ballot	1	10
E-Voting	NIL	NIL
Total	1	10

(IV) Summary of Votes Casted:

Type of voting	Number of Members who voted	Total number of Votes cast by them
Postal Ballot - Valid	25	2679
Postal Ballot - Against	NIL	NIL
Postal Ballot - Invalid	1	10
E-Voting	NIL	NIL
Total	26	2689



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II. RESOLUTION 2:- SPECIAL RESOLUTION

TO APPROVE THE GIVING OF GUARANTEE (S) OR PROVIDING SECURITY (IES) IN CONNECTION WITH LOAN (S) TAKEN BY SUBSIDIARY COMPANY, M/S. LSC SECURITIES LIMITED, UNDER SECTION 185 OF THE COMPANIES ACT, 2013

(I) Voted in favour of the Resolution:

Type of voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	25	2679	100.00
E-Voting	NIL	NIL	NIL
Total	25	2679	100.00

(II) Voted against the Resolution:

Type of voting	Number of Members who voted	Number of Votes Cast by them	% of total number of valid votes cast
Postal Ballot	NIL	NIL	NIL
E-Voting	NIL	NIL	NIL
Total	NIL	NIL	NIL



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(III) Invalid Votes:

Type of voting	Number of Members whose votes were declared invalid	Total number of Votes cast by them
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Total	1	10

(IV) Summary of Votes Casted:

Type of voting	Number of Members who voted	Total number of Votes cast by them
Postal Ballot - Valid	25	2679
Postal Ballot - Against	NIL	NIL
Postal Ballot - Invalid	1	10
E-Voting	NIL	NIL
Total	26	2689





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4. The Postal ballot papers and other relevant records relating to postal ballot, shall be preserved under my safe custody till the Chairman considers, approves and signs the minutes and thereafter I will return the ballot paper and other related papers or register to the Company.
5. Resolutions as mentioned in the Notice (at item no. 1 and item no. 2) and referred above stand passed through Postal Ballot with requisites majority.
6. You may accordingly declare the results on the voting by postal ballot.

Thanking You.

Yours Faithfully,

CS Tanveer Kaur

ACS No: - 61685

CP No: - 23138

Date:- 30.08.2021

Place: - Ludhiana

UDIN: - A061685C000855601